



5p 1647

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : McKerracher et al.
Serial No. : 10/022,301
Filed : December 17, 2001
Title : RHO FAMILY ANTAGONISTS AND THEIR USE TO BLOCK INHIBITION
OF NEURITE OUTGROWTH

Art Unit : Unknown
Examiner : Unknown

Commissioner for Patents
Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicants submit copies of the references listed on the attached form PTO-1449. A copy of a Search Report from a foreign patent office in a counterpart application is also enclosed.

Under 35 USC §120, this application relies on the earlier filing date of application serial number 09/184,572, filed on November 2, 1998.

This statement is being filed before the receipt of a first Office action on the merits.

Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 1/13/03

Stuart Macphail, Ph.D.
Reg. No. 44,217

Fish & Richardson P.C.
45 Rockefeller Plaza, Suite 2800
New York, New York 10111
Telephone: (212) 765-5070
Facsimile: (212) 258-2291

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Sheet 1 of 2

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| Substitute Form PTO-1449 | U.S. Department of Commerce Patent and Trademark Office | Attorney's Docket No. 12552-003001 | Application No. 10/022,301 |
| Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b)) | | Applicant McKerracher et al. | |
| | | Filing Date December 17, 2001 | Group Art Unit |

U.S. Patent Documents

| Examiner Initial | Desig. ID | Patent Number | Issue Date | Patentee | Class | Subclass | Filing Date If Appropriate |
|------------------|-----------|---------------|------------|----------|-------|----------|----------------------------|
| | AA | | | | | | |
| | AB | | | | | | |
| | AC | | | | | | |
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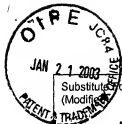
Foreign Patent Documents or Published Foreign Patent Applications

| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation | |
|------------------|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
| | | | | | | | Yes | No |
| | AL | WO 97/18303 | 05/1997 | WIPO | | | | |
| | AM | WO 98/46754 | 10/1998 | WIPO | | | | |
| | AN | WO 99/08533 | 02/1999 | WIPO | | | | |
| | AO | 0 370 498 A3 | 05/1990 | EPO | | | | |
| | AP | | | | | | | |

Other Documents (include Author, Title, Date, and Place of Publication)

| Examiner Initial | Desig. ID | Document |
|------------------|-----------|---|
| | AQ | Chuang et al. (1993) "GDP Dissociation Inhibitor Prevents Intrinsic and GTPase Activating Protein-stimulated GTP Hydrolysis by the Rac GTP-binding Protein", J. of Biol. Chem. 268(2):775-778 |
| | AR | Crutcher et al. (1986) "The Role of Growth Factors in Neuronal Development and Plasticity", CRC Crit. Rev. in Neurobiol. 2(3):297-333 |
| | AS | Lamoureaux et al. (1997) "Rac is required for growth cone function but not neurite assembly", J. of Cell Science 110:635-641 |
| | AT | Luo et al. (1997) "Rho family small GTP-binding proteins in growth cone signalling", Current Opinion in Neurobiology 7:81-86 |

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|--|-----------------|
| Examiner Signature | Date Considered |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |

Sheet 2 of 2Substitute Form PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark OfficeAttorney's Docket No.
12552-003001Application No.
10/022,301**RECEIVED****Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant

McKerracher et al.

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Filing Date

December 17, 2001

Group Art Unit

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Other Documents (include Author, Title, Date, and Place of Publication)

| Examiner Initial | Desig. ID | Document |
|------------------|-----------|--|
| | AU | Malosio et al. (1997) "Differential Expression of Distinct Members of Rho Family GTP-Binding Proteins...Family", J. of Neuroscience 17(17):6717-6728 |
| | AV | Nishiki et al. (1990) "ADP-Ribosylation of the rho/rac Proteins Induces Growth Inhibition, ...Cultured PC-12 Cells". Biochem. And Biophys. Res. Comm. 167(1):265-272 |
| | AW | Schwab et al. (1993) "Inhibitors of Neurite Growth", Ann. Rev. of Neurosci. 16:565-595 |

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Substitute Disclosure Form (PTO-1449)